

## RESEARCH ARTICLE

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## Key Points:

- NO<sub>2</sub> and HCHO from satellites can characterize O<sub>3</sub> production regimes and trends
- Transitional regime is dominated over eastern China in ozone season
- Cities in China are becoming increasingly VOC limited

## Supporting Information:

- Figures S1–S3 and Tables S1 and S2

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## Spatial and temporal variability of ozone sensitivity over China observed from the Ozone Monitoring Instrument

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**Abstract** Surface ozone (O<sub>3</sub>) air pollution in populated regions has been attributed to emissions of nitrogen oxides (NO + NO<sub>2</sub> = NO<sub>x</sub>) and reactive volatile organic compounds (VOCs). These constituents react with hydrogen oxide radicals (OH + HO<sub>2</sub> = HO<sub>x</sub>) in the presence of sunlight and heat to produce O<sub>3</sub>. The question of whether to reduce NO<sub>x</sub> emissions, VOC emissions, or both is complicated by spatially and temporally heterogeneous ozone-NO<sub>x</sub>-VOC sensitivity. This study characterizes spatial and temporal variations in O<sub>3</sub> sensitivity by analyzing the ratio of formaldehyde (HCHO, a marker of VOCs) to nitrogen dioxide (NO<sub>2</sub>), a metric known as the formaldehyde nitrogen ratio (FNR). Level 3 gridded retrievals from the Ozone Monitoring Instrument (OMI) aboard the NASA Aura satellite were used to calculate FNR, with our analysis focusing on China. Based on previous studies, we take FNR < 1.0 as indicating VOC-limited regimes, FNR > 2.0 as indicating NO<sub>x</sub>-limited regime, and FNR between 1.0 and 2.0 as indicating transitional regime (where either NO<sub>x</sub> reductions or VOC reductions would be expected to reduce O<sub>3</sub>). We find that the transitional regime is widespread over the North China Plain (NCP), the Yangtze River Delta, and the Pearl River Delta during the ozone season (defined as having near-surface air temperatures >20°C at the early afternoon OMI overpass time). Outside of these regions, the NO<sub>x</sub>-limited regime is dominant. Because HCHO and NO<sub>2</sub> have distinct seasonal patterns, FNR also has a pronounced seasonality, consistent with the seasonal cycle of surface O<sub>3</sub>. Examining trends from 2005 to 2013 indicates rapid growth in NO<sub>2</sub>, especially over less-developed areas where O<sub>3</sub> photochemistry is NO<sub>x</sub> limited. Over this time period, HCHO decreased in southern China, where VOC emissions are dominated by biogenic sources, but increased slightly over the NCP, where VOC emissions are dominated by anthropogenic sources. A linear regression approach suggests that most of China (70% of grid cells) will be characterized by a transitional regime during the O<sub>3</sub> season by 2030. However, in megacities such as Guangzhou, Shanghai, and Beijing, NO<sub>2</sub> has decreased such that the chemical regime has shifted from VOC limited in 2005 to transitional in 2013.

### 1. Introduction

It is well established that near-surface ozone (O<sub>3</sub>) is harmful to human health, vegetation, and materials, especially at high concentrations [Jacobson, 2012]. Episodes of elevated O<sub>3</sub> pollution have been reported across China [H. Wang et al., 2006; T. Wang et al., 2006; Chan and Yao, 2008; Shao et al., 2009; Zhao et al., 2009; Wang et al., 2011], where the 8 h average threshold for compliance with national regulations is 160 μg/cm<sup>3</sup> (~80 ppbv) for most areas, including cities and croplands, and 100 μg/cm<sup>3</sup> (~50 ppbv) for national parks and protected regions (GB 3095-2012 (Ministry of Environmental Protection of China (2012), National Ambient Air Quality Standards, [http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/dqhjzlbz/201203/t20120302\\_224165.htm](http://kjs.mep.gov.cn/hjbhbz/bzwb/dqhjbh/dqhjzlbz/201203/t20120302_224165.htm), accessed on 9 May 2015 (website in Chinese))). However, it was suggested that this level was not achieved on a regular basis, with nonattainment rates of 5.0–33.7% in the Beijing-Tianjin-Hebei region (part of the North China Plain, NCP), 2.2–27.1% in the Yangtze River Delta (YRD), and 5.5–15.5% in the Pearl River Delta (PRD) [Liu et al., 2013a].

Ozone in near-surface, continental regions has been attributed to emissions of nitrogen oxides (NO + NO<sub>2</sub> = NO<sub>x</sub>) and volatile organic compounds (VOCs), which react in the presence of hydroxyl (OH) and hydroperoxyl (HO<sub>2</sub>) radicals to create O<sub>3</sub>. In China, emissions from power plants, industry, and transportation are the main contributor to NO<sub>x</sub> emissions, accounting for more than 85% of the total NO<sub>x</sub> release [Zhao et al., 2013]. Emissions of VOCs may be anthropogenic, pyrogenic, or biogenic in origin. Most

biogenic VOCs (BVOCs) are more reactive than anthropogenic nonmethane VOCs (NMVOCs) and thus play a more significant role in  $O_3$  formation [Wei *et al.*, 2007]. Other contributors to ground-level  $O_3$  include long-range transport [Jiang *et al.*, 2010; Nagashima *et al.*, 2010] and stratosphere-troposphere exchange [Wang *et al.*, 2011]. As a result of industrial growth and expansion, the spatial extent of  $O_3$  pollution is expanding and poses a risk to both human health and agriculture [Wang *et al.*, 2005].

The effectiveness of emission controls depends on the photochemical regime of  $O_3$  formation, namely, whether production is  $NO_x$  limited or VOC limited. In the VOC-limited (or  $NO_x$ -saturated) regime, VOC emission reductions reduce the chemical production of organic radicals ( $RO_2$ ), which in turn lead to decreased cycling with  $NO_x$  and consequently lower concentration of  $O_3$  [Milford *et al.*, 1989]. In the  $NO_x$ -limited (or VOC-saturated) regime,  $NO_x$  emission reductions reduce  $NO_2$  photolysis, which is the primary source of free oxygen atoms, which react with  $O_2$  to form  $O_3$ . So in a  $NO_x$ -limited regime,  $NO_x$  reductions reduce ambient  $O_3$ . In contrast, in the  $NO_x$ -saturated regime,  $NO_x$  acts to reduce ozone, so a decrease in emissions promotes  $O_3$  production [Kleinman, 1994]. A transitional regime may also occur in which  $O_3$  is sensitive to both  $NO_x$  and VOCs.

The  $O_3$ - $NO_x$ -VOC sensitivity has been investigated through both models and observation-based methods. Modeling approaches offer explicit calculation of chemical sensitivities and complete data across chemical species, time, and space. However, results depend on emission inventories, meteorological inputs, chemical processes, and boundary layer dynamics [J. Zhang *et al.*, 2007], all of which have associated uncertainties. One model-based study from Li *et al.* [2013] found the  $O_3$  responsiveness to  $NO_x$  emissions control in PRD to be spatially and temporally heterogeneous; another study from Xing *et al.* [2011] found the urban areas of Beijing, Shanghai, and Guangzhou to be VOC limited but the downwind rural areas to be  $NO_x$  limited. Observational analyses complement model-based studies by calculating  $O_3$  sensitivity from ambient measurements. In situ observations of reactive  $NO_x$ , VOCs, and  $O_3$  indicate that PRD was in VOC-limited regime in fall of 2004 [Zhang *et al.*, 2008]. Ozone sensitivity may also be derived from the measurements of secondary indicator species, such as reactive nitrogen ( $NO_y$ ), which was used by Chou *et al.* [2009] to indicate that urban areas of Beijing were VOC limited in 2006. Due to resource constraints [Zhang *et al.*, 2008; Kanaya *et al.*, 2009], site measurements are often limited in time period and spatial extent.

Another indicator species for ozone regime is ratio of formaldehyde (HCHO) to  $NO_y$  [Sillman, 1995], which is particularly suited for analysis with satellite data. Space-based instruments have been used to evaluate temporal and spatial patterns in  $NO_x$  emissions [Martin *et al.*, 2003; Q. Zhang *et al.*, 2007] and VOC emissions [Millet *et al.*, 2006; Barkley *et al.*, 2013]. Martin *et al.* [2004] first applied the indicator ratio of Sillman [1995] to remotely sensed HCHO and  $NO_2$  from the Global Ozone Monitoring Experiment (GOME) and proposed the formaldehyde to nitrogen dioxide ratio (FNR) as an indicator of  $O_3$  sensitivity. Choi *et al.* [2012] found that GOME-based regime classification better captured the weekly cycle of  $O_3$  than a model-based classification. The Ozone Monitoring Instrument (OMI) has finer spatial resolution than GOME, and Duncan *et al.* [2010] applied OMI data to characterize  $O_3$  sensitivity over North America. This type of analysis was conducted over China by Tang *et al.* [2012], who used GOME data over the NCP (finding VOC-limited conditions in summer), and by Witte *et al.* [2009], who used OMI data over Beijing during the 2008 Olympic Games (and found sensitivity to both  $NO_x$  and VOCs).

This study represents the first large-scale and long-term characterization of  $O_3$  sensitivity over China. We characterized the spatial and temporal trends of  $O_3$ -VOC- $NO_x$  sensitivity over China from 2005 to 2013 using OMI satellite data, including an analysis of how space-based regime classification relates to sectoral emissions, meteorological conditions, and land cover.

## 2. Data and Methods

### 2.1. OMI Observation

The Ozone Monitoring Instrument (OMI) is a nadir-viewing spectrometer, launched on board the NASA Earth Observing Satellite Aura into Sun-synchronous orbit in July 2004. Since satellites in Sun-synchronous orbit keep pace with Earth's movement around the Sun, OMI passes the equator at constant local time (~13:45) everyday, providing daily global coverage. OMI covers two UV channels (264–311 nm and 307 – 383 nm)

and one visible light spectrometer channel (349–504 nm), with a spectral resolution between 0.42 and 0.63 nm and a spatial resolution of up to  $13 \times 24 \text{ km}^2$ . Comparing with the Global Ozone Monitoring Experiment (GOME) and Scanning Imaging Absorption spectrometer for Atmospheric CHartography (SCHIMACHY), OMI has finer spatial resolution, enabling better characterization of atmospheric chemistry from the space.

## 2.2. OMI NO<sub>2</sub> Column

We use the OMI operational standard NO<sub>2</sub> product, OMNO2 (version 2.1) [Bucsela et al., 2013; Lamsal et al., 2014], available from the NASA Goddard Earth Sciences and Data and Information Services Center (GES-DISC). The tropospheric NO<sub>2</sub> columns used in this study were calculated as follows: (1) retrieval of the slant column using a differential optical absorption spectroscopy (DOAS) technique in the 405–465 nm range [Platt and Stutz, 2008]; (2) calculation of stratospheric and tropospheric air mass factors (AMFs) by integrating the product of scattering weights and modeled NO<sub>2</sub> profile shape; (3) correction of “striping” effects along the orbital track; and (4) separation of tropospheric and stratospheric columns. Data version used in this study includes considerable improvements in the retrieval algorithm compared to previous version [Bucsela et al., 2006; Celarier et al., 2008]. Major improvements include finer-resolution radiative transfer calculation, monthly climatology of NO<sub>2</sub> profiles derived from a Global Modeling Initiative simulation, improved stripe correction, and improved stratosphere-troposphere separation (STS) scheme [Bucsela et al., 2013].

The uncertainty of NO<sub>2</sub> column originates from errors in slant column retrieval, AMF calculation, and STS. Overall, Bucsela et al. [2013] estimate that the uncertainty in slant column retrieval is about  $0.75 \times 10^{15} \text{ molecules/cm}^2$ ; the uncertainty in stratospheric AMFs is small (~2%) and the uncertainty in tropospheric AMFs is 20% under clear-sky conditions; the error in STS algorithm is on the order of  $0.2 \times 10^{15} \text{ molecules/cm}^2$ . The total uncertainty of OMI NO<sub>2</sub> column ranges from 30% to 60%, depending on the pollution level and cloud conditions [Bucsela et al., 2013]. Evaluation of the product with a number of validation data sets indicates data accuracy within 20% [Lamsal et al., 2014].

This study uses the Level 3 OMI NO<sub>2</sub> product (OMNO2D) available at  $0.25^\circ \times 0.25^\circ$  grid. Level 3 data are weighted averages of good quality Level 2 OMINO2 pixel data that satisfy a screening criteria for clouds (cloud fraction < 30%) and surface reflectivity (surface reflectivity < 0.3) [Krotkov, 2012]. The OMI data have been affected by row anomalies since May 2007; however, the cross-track scenes affected by row anomalies are filtered out in the Level 3 product.

## 2.3. OMI HCHO Column

Nine-year OMI HCHO daily products from 2005 to 2013 from the Belgian Institute for Space Aeronomy (Belgisch Instituut voor Ruimte-Aëronomie–Institut d’Aéronomie Spatiale de Belgique (BIRA-IASB)) were used in this study [De Smedt et al., 2012]. Both the Smithsonian Astrophysical Observatory at Harvard University and the Belgian Institute for Space Aeronomy (BIRA-IASB) have recently made improvements on OMI HCHO products, which have addressed the instrumental degradation issues reported by previous studies [Bucsela et al., 2013; González Abad et al., 2015], thus allowing for better long-term monitoring of O<sub>3</sub> sensitivity from satellite data [De Smedt et al., 2012]. Although some studies suggest striping effects and instrumental degradation in previous OMI HCHO products [Witte et al., 2011], BIRA-IASB has made improvements to address these issues in the version 13 data. The instrumental degradation has been reduced by a factor of 2 through asymmetric Gaussian line-shape fitting during the irradiance calibration [De Smedt et al., 2012], and row-dependent background normalization was applied to OMI products to reduce striping effects.

The retrieval of HCHO columns in the near-ultraviolet (UV) channels is based on differential optical absorption spectroscopy (DOAS) technique [Platt and Stutz, 2008]. First, the integrated column of trace gases along the optical path (termed the slant column) is estimated by fitting the measured reflectance with the HCHO absorption cross sections of Meller and Moortgat [2000] in the spectral range of 327.5–356.5 nm. The slant columns retrieved from operational algorithm are then converted to vertical columns by using air mass factors (AMFs). The profiles used for calculation of AMFs were obtained from the Intermediate Model for the Annual and Global Evolution of Species global chemistry-transport model [Stavrakou et al., 2013]. The effects of aerosols were not explicitly considered in OMI HCHO retrievals but implicitly included in the

cloud correction, because effects of nonabsorbing aerosol and clouds on radiation are similar in the UV channels [De Smedt et al., 2008]. For daily OMI BISA Level 3 products, pixels with lower than 40% cloud fraction were selected and averaged to  $0.25^\circ \times 0.25^\circ$  grids. The cross-track scenes affected by row anomalies were filtered out in the Level 3 products.

The uncertainty sources of HCHO products include errors of slant column retrieval, errors on reference sector correction, and uncertainties associated with air mass factor estimation [De Smedt et al., 2008]. The systematic errors with slant column of SCIAMACHY HCHO data range from 2 to  $5 \times 10^{15}$  molecules/cm<sup>2</sup> [De Smedt et al., 2008]. The slant column error of OMI measurements is expected to be better than that for SCIAMACHY due to improved signal to noise [Millett et al., 2008]. The errors on reference sector range from 5% to 25%, and errors on AMFs range from 10% to 25% in southeastern China [De Smedt et al., 2012]. The total uncertainty of HCHO products ranges from 30% to 40% [De Smedt et al., 2012].

#### 2.4. O<sub>3</sub>-NO<sub>x</sub>-VOC Sensitivity Regime Classification

The ratio of HCHO/NO<sub>2</sub> functions as a reactivity-weighted VOC/NO<sub>x</sub> ratio, since the production of HCHO is approximately proportional to the summed rate of reactions of VOC with peroxy radicals [Sillman, 1995]. HCHO is a short-lived oxidation product of many VOCs that may be measured with satellites; NO<sub>x</sub> can be approximated from satellite observation of NO<sub>2</sub> column because of the short lifetime of NO<sub>x</sub> and high ratio of NO<sub>2</sub>/NO<sub>x</sub> in the boundary layer [Duncan et al., 2010].

Daily Level 3 OMI NO<sub>2</sub> and OMI HCHO data from 1 January 2005 to 31 December 2013 were used to calculate the O<sub>3</sub> indicator ratio. In order to reduce the random errors of satellite observation [Millett et al., 2008; Duncan et al., 2014], we first calculated 7 day average NO<sub>2</sub> and HCHO column. The O<sub>3</sub> indicator ratio, defined by the ratio of formaldehyde to nitrogen dioxide (FNR), was calculated based on the two 7 day averages. The combined uncertainty in FNR is 27–51% if the errors of OMI NO<sub>2</sub> and OMI HCHO are uncorrelated. However, as the effects of clouds, aerosol, and surface reflectivity on NO<sub>2</sub> and HCHO retrieval may be cancelled out, the uncertainty in FNR should be lower than the theoretical uncertainty [Duncan et al., 2010].

Duncan et al.'s [2010] modeling study in Los Angeles suggests that FNR < 1.0 indicates VOC-limited regime, while FNR > 2.0 indicates NO<sub>x</sub>-limited regime. However, different emission characteristics will likely affect the thresholds appropriate for determining O<sub>3</sub> sensitivity from indicator ratios [Lu and Chang, 1998]. Most urban areas in eastern China have similar VOC speciation and comparable O<sub>3</sub> pollution levels to Los Angeles [Brown et al., 2007; Song et al., 2007; Wei et al., 2008; Zheng et al., 2009], and the FNR thresholds from Duncan et al. [2010] have been used previously to investigate O<sub>3</sub>-NO<sub>x</sub>-VOC sensitivity in China [Witte et al., 2011; Tang et al., 2012]. Compared with Los Angeles, most cities in China have higher aerosol levels. Secondary aerosol production may become a large sink of radicals, which could shift O<sub>3</sub> production toward a VOC-limited regime under this definition [Liu et al., 2012a].

It should be noted that temporal variation in FNR is not negligible see section 3.3.4, thus temporal averaging may offset the changes in O<sub>3</sub> sensitivity. Taking into account the temporal variation in FNR, we classified the regime based on the following criteria:

- FNR<sub>avg</sub> < 1.0 and FNR<sub>avg</sub> + FNR<sub>stddev</sub> < 2.0: VOC-limited Regime
- FNR<sub>avg</sub> > 2.0 and FNR<sub>avg</sub> - FNR<sub>stddev</sub> > 1.0: NO<sub>x</sub>-limited Regime
- Otherwise: Transitional Regime or Mixed Regime

In this definition, FNR<sub>avg</sub> and FNR<sub>stddev</sub> represent the temporal average and standard deviation of FNR. The term “transitional regime” refers to conditions whereby a reduction in either NO<sub>x</sub> or VOC emissions could result in a reduction of ambient O<sub>3</sub>; the term “mixed regime” refers conditions over spatial areas and time periods that include some combination of NO<sub>x</sub>-limited, VOC-limited, and/or transitional regimes but where the specific regimes are not resolved in space and time.

Since the satellite retrievals we use exclude cloudiness, and OMI overpass time is in the afternoon, we assume that OMI data are retrieved on clear days with sunlight. Thus, variability in photolysis is not a major factor in our study results. However, temperature is also a major determinant of O<sub>3</sub> chemistry, and we separate results for warmer “ozone season” time periods and cooler “nonozone season” time periods. Because O<sub>3</sub> pollution

events are rare when the ambient temperature is below 20°C (68°F) [Sillman, 2003], we define the O<sub>3</sub> season as including all weeks where the 7 day average temperature at 1:00 P.M. local solar time > 20°C. This time period was selected to correspond with the satellite overpass time. Air temperature data were acquired from the National Centers for Environmental Prediction (NCEP) Climate Forecast System Reanalysis data set [Saha *et al.*, 2010]. The reanalysis 2 m temperature data are gridded at 0.5° × 0.5°. Monthly total precipitation data were obtained from Global Precipitation Climatology Center [Schneider *et al.*, 2013]. The spatial resolution is 0.5° × 0.5°.

## 2.5. Trend Detection

In this study, we applied a linear regression model as well as seasonal Kendall's test to detect and estimate the trend in satellite-derived HCHO, NO<sub>2</sub>, and FNR. Multiple linear regression takes into account the seasonality of time series by means of periodic functions:

$$Y_t = \beta_0 + \beta_1 \cdot \sin\left(\frac{2\pi}{12}t\right) + \beta_2 \cdot \cos\left(\frac{2\pi}{12}t\right) + \beta_3 t + \varepsilon \quad (1)$$

where  $Y_t$  is the monthly NO<sub>2</sub>, HCHO, or FNR of month  $t$ ;  $\beta_0$  is the monthly NO<sub>2</sub>, HCHO, or FNR in January 2005;  $\beta_3$  represents the linear trend in the time series,  $\varepsilon$  is the regression residual, which should be approximately normal; and  $\beta_1$  and  $\beta_2$  represent seasonal components of the time series, such that the amplitude of the annual cycle can be expressed as

$$A = \sqrt{\beta_1^2 + \beta_2^2} \quad (2)$$

The phase, which corresponds to the month with maximum NO<sub>2</sub>, HCHO, or FNR is

$$t_{\max} = \frac{12}{2\pi} \tan^{-1}\left(\frac{\beta_1}{\beta_2}\right) \quad (3)$$

The linear model has been applied in previous studies [van der A *et al.*, 2006; De Smedt *et al.*, 2010], which is robust and has the advantage of characterizing seasonality. However, the linear regression model may be sensitive to data outliers. The seasonal Kendall's test [Mann, 1945] was used to complement the linear regression analysis. Kendall's test is nonparametric, which is less sensitive to data outliers, and calculated by considering the relationships (concordant and discordant) among data pairs [Wilks, 2011]. Seasonal Kendall's test takes into account the seasonality by computing the Kendall's test on each month and combining the results. Theil's method was used to estimate the magnitude of upward or downward trends in each month [Sen, 1968]. The annual trend was then computed as the median of the slopes in each month so that cross-season slopes can be avoided. A detailed description of the seasonal Kendall's test may be found in Helsel and Hirsch [1993].

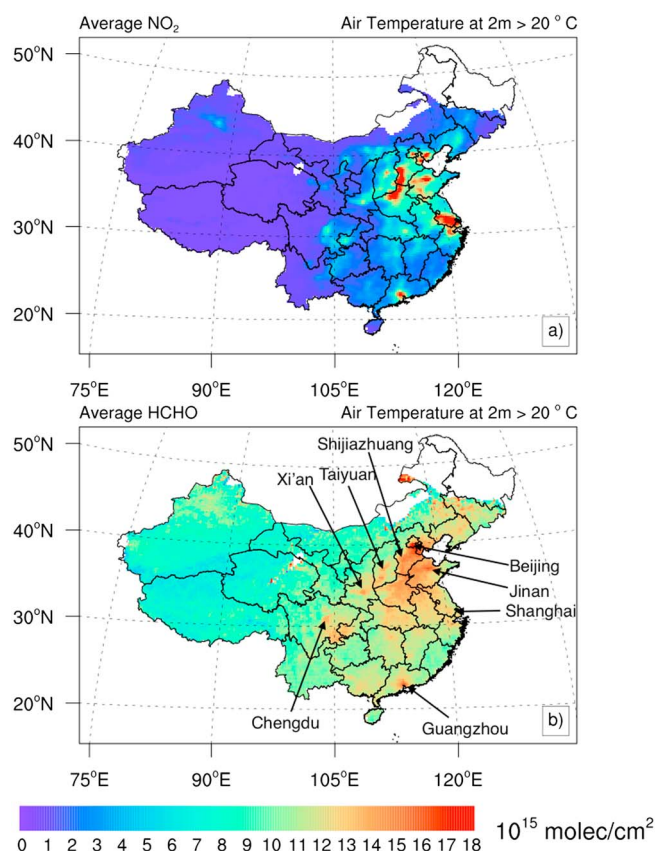
## 2.6. Ancillary Data

### 2.6.1. MODIS Land Cover Data

We examine spatiotemporal patterns of photochemical regimes over different land cover types, so urban, cropland, and forest areas across China are taken from Level 3 annual Moderate Resolution Imaging Spectroradiometer (MODIS) land cover type product from 2005 to 2012 [Friedl *et al.*, 2010]. The MODIS product has finer spatial resolution at 500 m, which we resampled to 0.25° × 0.25° to match the OMI data. Grid cells that cover urban pixels were considered as urban. For the remaining pixels, we assigned the grid cell with cropland or forest if over 50% MODIS pixels belong to this land cover type. Otherwise the grid was classified as "other type."

### 2.6.2. Anthropogenic Emission Inventory

To relate the photochemical regimes with anthropogenic emissions, bottom-up NO<sub>x</sub> and VOC emission data were obtained from the Multiresolution Emission Inventory for China (MEIC). MEIC was developed at Tsinghua University and provides gridded emission data for 2008 and 2010 [Zhang *et al.*, 2009; Lei *et al.*, 2011; Li *et al.*, 2014]. More than 800 anthropogenic sources were aggregated to four sectors, including residential use, transportation, power plants, and industry [He, 2012]. The MEIC emission inventory has been used in past studies [e.g., Wang *et al.*, 2014]; here monthly anthropogenic NO<sub>x</sub> and VOC emission data at 0.25° resolution are used.



**Figure 1.** Nine year average of (a) HCHO and (b) NO<sub>2</sub> averaged from 2005 to 2013 in ozone season.

( $8.9 \times 10^{15}$  molecules/cm<sup>2</sup>) in 13 cities, and the highest 11 cities were all in the NCP, YRD, and PRD. The observed high-NO<sub>2</sub> column expands toward rural areas surrounding the urban clusters (Figure 1a). Areas in the top 5% of NO<sub>2</sub> column values consisted of 34% urban, 65% cropland, and 3% forest grid cells. The average NO<sub>2</sub> column over cropland was  $6.6 \times 10^{15}$  molecules/cm<sup>2</sup>, which is close to the average over urban areas. High concentrations of NO<sub>2</sub> over rural areas are attributed to local emissions from heavy diesel vehicles on rural highways, agricultural burning, and the transport of NO<sub>x</sub> from nearby cities [Guoliang *et al.*, 2008]. Over forested areas, the average NO<sub>2</sub> column was  $2.4 \times 10^{15}$  molecules/cm<sup>2</sup>, 3 times lower than over urban areas.

### 3.1.2. Seasonal Variation

Figure 2b shows the time series of monthly average NO<sub>2</sub> column over the three industrial regions we discuss (NCP, YRD, and PRD). The maximum NO<sub>2</sub> column occurs in January, the minimum in July. Similar seasonality was found over other parts of China dominated by anthropogenic emissions. The winter peak in NO<sub>2</sub> is largely due to long lifetime of NO<sub>x</sub> in these months as well as enhanced anthropogenic NO<sub>x</sub> emissions due to the domestic heating [Q. Zhang *et al.*, 2007]. The average NO<sub>2</sub> in NCP reached  $38.4 \times 10^{15}$  molecules/cm<sup>2</sup> in January of 2012. However, Q. Zhang *et al.* [2007] noted that OMI NO<sub>2</sub> may overestimate wintertime NO<sub>2</sub> due to the impact of snow cover, zenith angle, and the wind speed. During the O<sub>3</sub> season of NCP (June to October on average), the regional average NO<sub>2</sub> column ranges from  $5.9$  to  $16.1 \times 10^{15}$  molecules/cm<sup>2</sup> and peaks in October (Figure 2a). YRD has longer O<sub>3</sub> season, which extends from March to December, and the regional average NO<sub>2</sub> column ranges from  $3.7$  to  $19.7 \times 10^{15}$  molecules/cm<sup>2</sup>. An abrupt increase was observed from May to June over NCP and YRD from 2005 to 2012, which largely resulted from the emissions from agricultural residual burning following the winter wheat harvest [Fu *et al.*, 2007]. The abrupt increase disappeared in 2013, which may be attributed to emission controls on residual burning. In PRD, the average NO<sub>2</sub> column ranges from  $3.1$  to

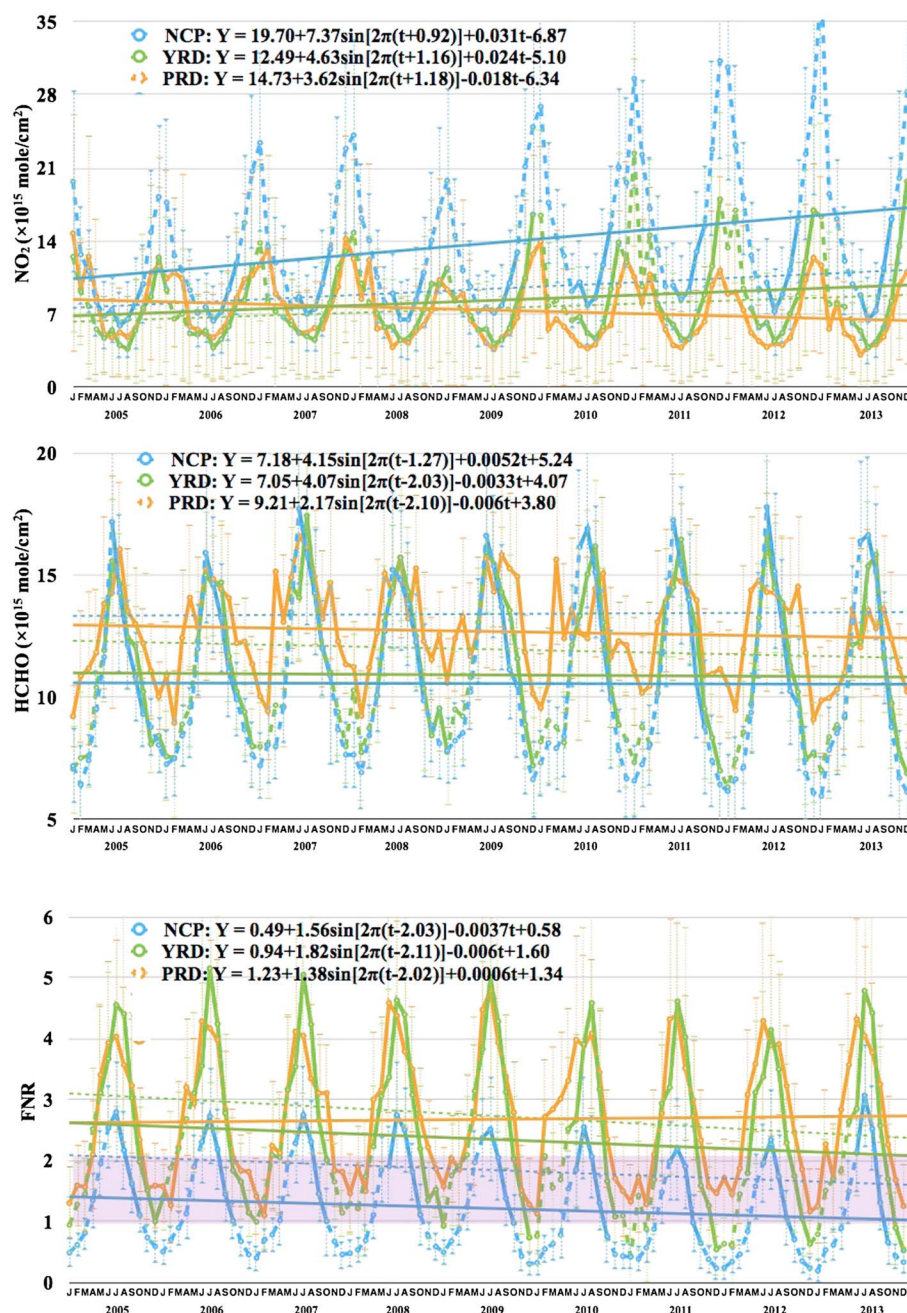
## 3. Results and Discussion

### 3.1. Variations of NO<sub>2</sub>

#### 3.1.1. Spatial Pattern

Figure 1a shows the average NO<sub>2</sub> column during the O<sub>3</sub> season, averaged over 2005–2013, as calculated from the 7 day composite of OMI data. The average NO<sub>2</sub> column over this period is  $2.4 \times 10^{15}$  molecules/cm<sup>2</sup>, and the standard deviation is  $8.9 \times 10^{15}$  molecules/cm<sup>2</sup>, indicating a highly dispersed spatial distribution of tropospheric NO<sub>2</sub>. The tropospheric NO<sub>2</sub> column was higher in eastern China (>100°E) than western China (<100°E) by a factor of 5. Anthropogenic emissions are the main contributor to high NO<sub>2</sub> in East China, and the large gap between western and eastern China in population density and development level produced the large observed west-east difference in NO<sub>2</sub> column.

The NO<sub>2</sub> column over urban clusters,  $7.6 \times 10^{15}$  molecules/cm<sup>2</sup>, is higher than the domain average NO<sub>2</sub> column by a factor of 3. Among the 30 capital cities (Harbin was excluded due to cold climate), tropospheric NO<sub>2</sub> column was higher than the upper fifth percentile

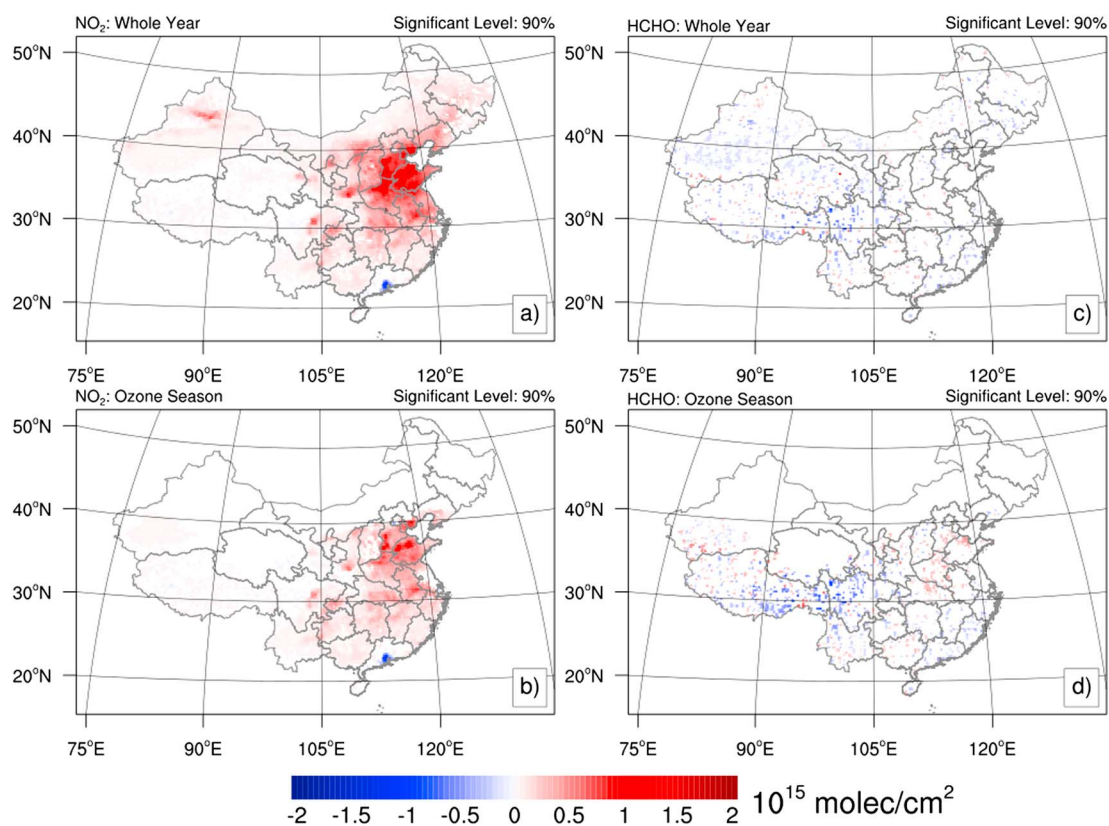


**Figure 2.** Time series of monthly average of (a) HCHO, (b) NO<sub>2</sub>, and (c) FNR over urban areas of NCP, YRD, and PRD. The error bars represent the standard deviation. The dashed line indicates nonozone season, and the solid line indicates ozone season.

$14.7 \times 10^{15}$  molecules/cm<sup>2</sup>. Since the average near-surface temperature is higher than 20°C throughout the year in PRD, the entire year is considered to be ozone season.

### 3.1.3. Interannual Trend

Both linear regression and Theil's method yield a statistically significant (>95%) increasing trend over most parts of China from 2005 to 2013 (Figure 3a and Figure S1 in the supporting information). The increasing trend is most pronounced in parts of the NCP, especially Shandong Province, southern Hebei Province, and northern Henan Province, where the tropospheric NO<sub>2</sub> column increased by more than  $1 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup>. The regional annual trend was  $0.4 \times 10^{15}$  molecules cm<sup>-2</sup> yr<sup>-1</sup> in NCP and  $0.29 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in YRD. Similar increasing trends have been reported in previous studies



**Figure 3.** Linear trend from 2005 to 2013: (a) NO<sub>2</sub> in all seasons, (b) NO<sub>2</sub> in ozone season, (c) HCHO in all seasons, and (d) HCHO in ozone season.

[Richter *et al.*, 2005; Q. Zhang *et al.*, 2007; Gu *et al.*, 2013]. The increasing trend in NO<sub>2</sub> likely results from increasing anthropogenic NO<sub>x</sub> emissions [Richter *et al.*, 2005]. As shown in Figure 2a, increasing trends are largely driven by the high-NO<sub>2</sub> column in winter; the increasing trend is slower during the O<sub>3</sub> season (Figure 3b). Relative to 2005, the growth rates in NO<sub>2</sub> were slower during the O<sub>3</sub> season across most parts of China, with the exception of northern Shandong Province.

Trend analysis over urban areas of the 31 capital cities of China indicates different trajectories between less developed capital cities and developed megacities (Table S1 in the supporting information). Linear regression identified statistically significant increasing trends in 25 out of the 31 capital cities and 17 out of 24 cities during the O<sub>3</sub> season. High growth rates were found in less developed inland cities, such as Xining, Urumqi, Yinchuan, Chengdu, and Xi'an. Even in terms of the magnitude of trend, the trends in these cities ( $0.40 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in Xining,  $0.54 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in Urumqi,  $0.58 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in Yinchuan,  $0.64 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in Chengdu,  $0.73 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup> in Xi'an) were higher than average ( $0.36 \times 10^{15}$  molecules/cm<sup>2</sup> yr<sup>-1</sup>). As we will discuss in section 3.3, the O<sub>3</sub> photochemistry in some of the cities is sensitive to NO<sub>x</sub> emissions, suggesting that O<sub>3</sub> pollution is likely to worsen over the NO<sub>x</sub>-limited cities as a result of increasing NO<sub>2</sub>.

In contrast to most parts of China, insignificant or decreasing trends were found over economically developed megacities, including Beijing (−0.6%), Shanghai (−0.1%), and Guangzhou (−7.4%). The decreasing trend was more pronounced during the O<sub>3</sub> season with −2.5% in Beijing and −0.9% in Shanghai. Three factors may account for the decreasing NO<sub>2</sub> trends in megacities. First, vehicle emission controls are stricter, and enforcement is more effective in megacities than in other parts of China. In Guangzhou, 80% of buses and taxis have converted from gasoline engines to LPG engines, which has lower NO<sub>x</sub> emission rates [Gu *et al.*, 2013]. Second, denitrification systems have been applied to thermal power plants in the vicinity of megacities in China, while the installation of denitrification systems has lagged in less developed cities. While the decreasing trend in NO<sub>2</sub> was insignificant over urban areas of Shanghai, a decreasing trend with 1.8% ( $p < 0.01$ ) was found around the five power plants of Shanghai,



suggesting the effectiveness NO<sub>x</sub> emission control from power plants. Finally, the international attention brought by the Olympics Games in Beijing in 2008, the World Expo in Shanghai in 2010, and the Asian Games in Guangzhou in 2010 provided additional incentives to improve air quality [T. Wang *et al.*, 2010; Hao *et al.*, 2011; Liu *et al.*, 2013b]. Due to the closure or relocation of heavy industries, the regional average NO<sub>2</sub> column in NCP decreased by 7% in 2008 (Figure 2a). Similar decreasing trends were also observed over YRD and PRD in 2010.

Trend detection using nonparametric Kendall's test yielded similar results to the linear regression. It is shown that Theil's method yielded higher growth rate when the NO<sub>2</sub> column was high and lower growth rate when the column was low. The linear regression trend is likely to be biased by extremes in winter at low NO<sub>2</sub> level and low extremes in summer at high NO<sub>2</sub> level. The trend of Theil's method is the median of slopes in each season, thus less likely to be biased by extreme values.

## 3.2. Variations of HCHO

### 3.2.1. Spatial Pattern

Figure 1b shows the 9 year average column density of HCHO during the (locally calculated) O<sub>3</sub> season. The average HCHO column density is  $9.7 \times 10^{15}$  molecules/cm<sup>2</sup> and the standard deviation is  $4.5 \times 10^{15}$  molecules/cm<sup>2</sup>. The main HCHO source in the atmosphere is oxidation of methane (CH<sub>4</sub>). Since CH<sub>4</sub> has a long lifetime, the HCHO column from CH<sub>4</sub> oxidation is relatively stable [Millet *et al.*, 2006], which is considered to be background level [Boeke *et al.*, 2011]. The spatiotemporal variation of HCHO is dominated by the oxidation of NMVOCs, including anthropogenic VOC [Bo *et al.*, 2008; Zheng *et al.*, 2009], biogenic VOCs from vegetation [Wei *et al.*, 2007], and pyrogenic emission from biomass burning [Fu *et al.*, 2007]. The average HCHO column is 53% higher in eastern China, where NMVOCs contribute to column abundance, than western China, where HCHO is mainly formed from CH<sub>4</sub> oxidation. Since biogenic VOC emissions are temperature dependent [Duncan *et al.*, 2009], higher HCHO column is expected in warmer areas such as southeast China. However, this temperature dependence is partially accounted for in Figure 1b because we only show composites above the 20°C temperature threshold. The spatial pattern of HCHO resembles that of NO<sub>2</sub> ( $r = 0.68$ ), largely due to collocated anthropogenic NO<sub>x</sub> and VOC emissions over populated regions.

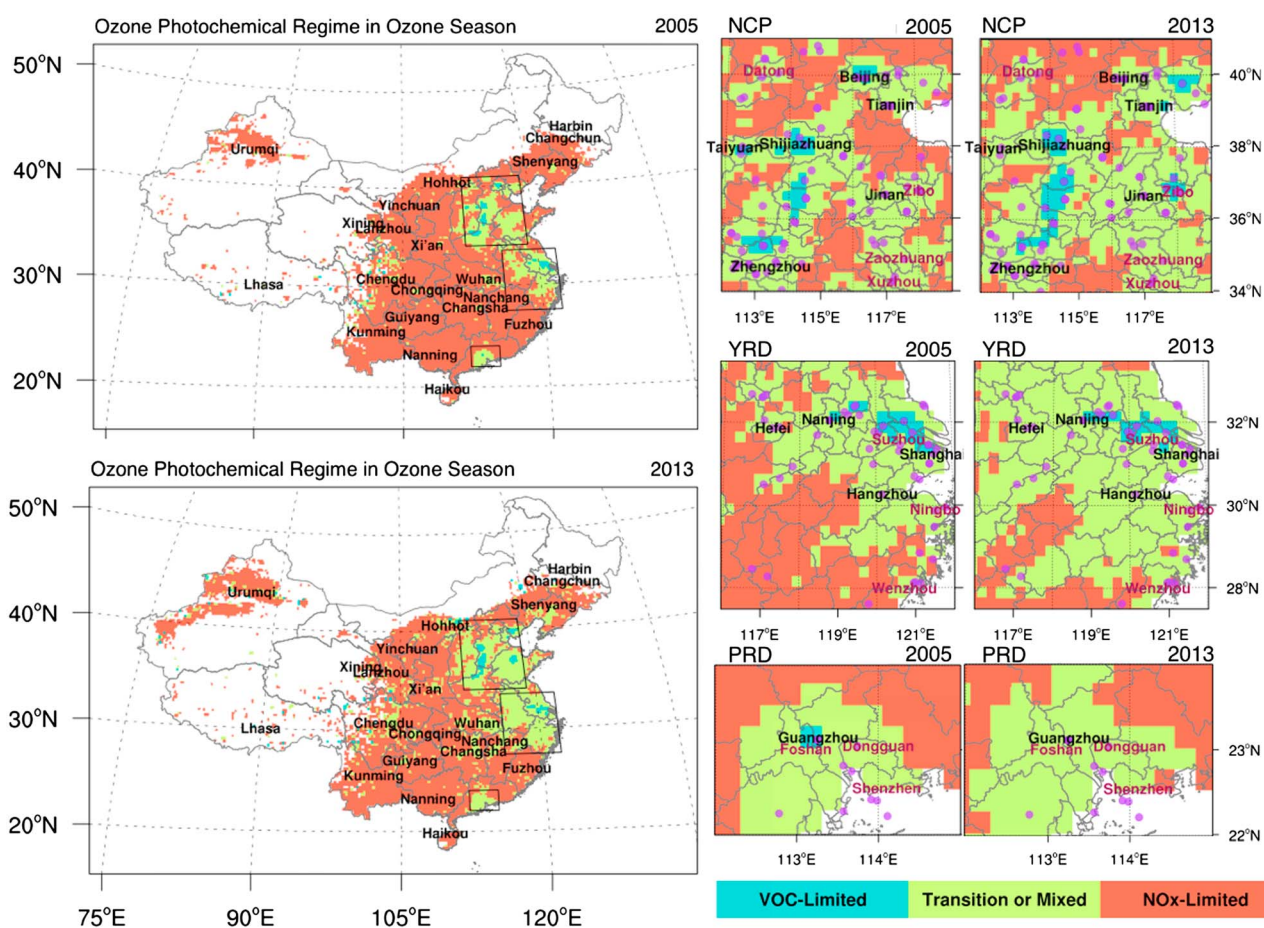
The average HCHO column in urban areas ( $12.2 \times 10^{15}$  molecules/cm<sup>2</sup>) and cropland ( $12.4 \times 10^{15}$  molecules/cm<sup>2</sup>) is higher than forested areas ( $10.9 \times 10^{15}$  molecules/cm<sup>2</sup>). The difference between urban and cropland is generally insignificant. Biogenic VOC (BVOC) emissions contribute about 48% to the total reactive NMVOC in China [Streets *et al.*, 2003], and most BVOCs are more reactive than anthropogenic VOCs [Wei *et al.*, 2007], leading to the relatively high HCHO column over both croplands and forests. Despite the small difference in HCHO columns between urban areas and croplands, HCHO enhancement is observed in urban areas of some populated cities such as Beijing, Shijiazhuang, Taiyuan, Chengdu, Xi'an Shanghai, Jinan, and Guangzhou (marked in Figure 1b), where anthropogenic NMVOC emission overwhelms BVOC emissions.

### 3.2.2. Seasonal Variation

Figure 2b shows the time series of monthly average HCHO from 2005 to 2013 in the three heavily urban study regions. HCHO generally peaks in summer, the season favorable for O<sub>3</sub> formation. In NCP, maximum HCHO column is found in June and minimum is found in January. BVOC emission is exponentially correlated with temperature [Duncan *et al.*, 2009], and BVOC emission peaks in August in NCP [Zhihui, 2003]. The anthropogenic VOC emissions do not change much from June to August [Zhang *et al.*, 2009]. The maximum HCHO column in June is attributed to emission from biomass burning, especially agricultural burning over croplands [Fu *et al.*, 2007]. Biomass burning emissions led to higher HCHO level in croplands than urban areas in burning season. Over forests, the HCHO column in July approaches, and even exceeds, that in June. The seasonal cycle of HCHO in YRD resembles that in NCP, but HCHO column peaks later in June or early July due to more biogenic emission and less biomass burning emission compared with NCP [Fu *et al.*, 2007; Stavrou *et al.*, 2014]. Due to the tropical climate, evergreen forest is dominated in PRD, which emits BVOCs throughout the year, leading to less significant seasonality of HCHO in PRD.

### 3.2.3. Interannual Trend

As HCHO is a short-lived oxidation product of many VOCs, the long-term trend in HCHO reflects the trend of reactive VOCs. The long-term trend in VOCs is of interest, especially over VOC-limited areas, where increases in VOC emissions may exacerbate O<sub>3</sub> pollution. As shown in Figures 3c and 3d, the detected increasing



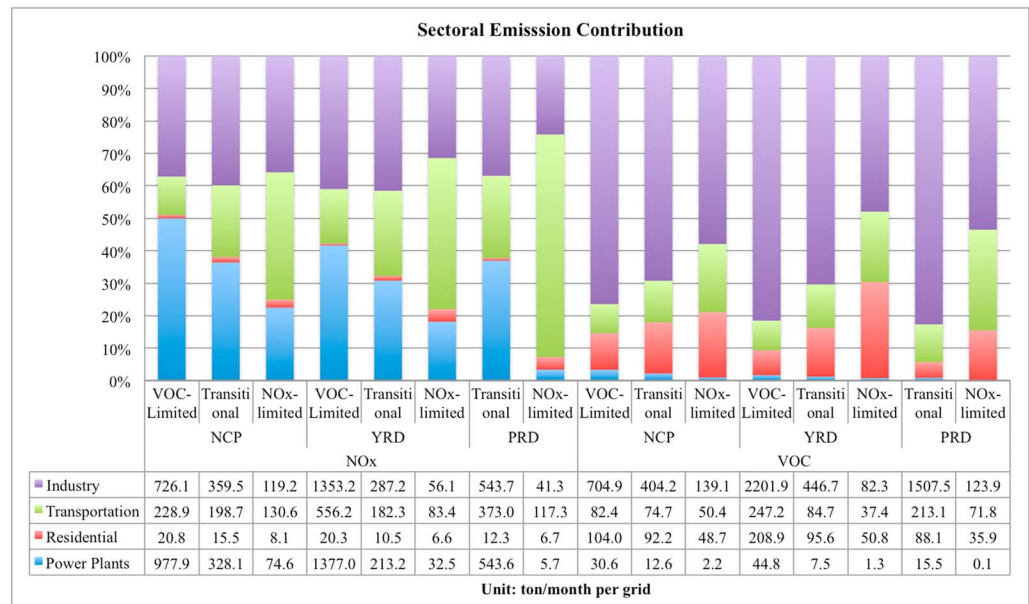
**Figure 4.** Photochemical regime classification in China and three subregions (North China Plain, Yangtze River Delta, and Pearl River Delta) in ozone season of 2005 and 2013. Cities marked in black are capitals of the first-level administrative regions. Cities marked in red are big cities with over 2 million population. The purple dots represent the locations of big power plants. The locations of ozone monitoring sites are marked with black dots.

or decreasing trends of HCHO were weak and insignificant in most parts of China. The regional annual trend is  $0.6 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$  in NCP,  $-0.4 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$  in YRD, and  $-0.7 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$  in PRD. The magnitude of the increasing trend was slightly smaller than that observed from GOME and SCHIMACHY data ( $0.8 \pm 0.2 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$ ) [De Smedt *et al.*, 2010]. During the  $\text{O}_3$  season, the increasing trend is dominant in central and northern China, while the decreasing trend is observed in western and southern China. In the NCP, the regional annual trend was observed over urban areas ( $0.6 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$ ) and croplands ( $1.4 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$ ), while a decreasing trend was observed over forests ( $-0.3 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$ ). In YRD and PRD, decreasing trends were found over all land cover types, with  $-0.5 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$  in YRD and  $-0.5 \times 10^{14}$  molecules  $\text{cm}^{-2} \text{yr}^{-1}$  in PRD. Anthropogenic NMVOC emissions have increased by 50% in China from 2000 to 2005 [Bo *et al.*, 2008], while BVOC emissions have decreased by 25% from 2007 to 2012 in China due to the impacts of climate and land use change [Stavrakou *et al.*, 2014]. The increasing anthropogenic emissions and decreasing BVOC emissions counteract to yield insignificant trend of HCHO from 2005 to 2013. In the  $\text{O}_3$  season, the HCHO column increased slightly in urban areas and croplands of the NCP, where anthropogenic emissions overwhelm BVOC emission, but decreased in southern China, where BVOC emissions dominate HCHO production (Figure 3d).

### 3.3. $\text{O}_3$ - $\text{NO}_x$ -VOC Sensitivity

#### 3.3.1. Spatial Variation

As a result of spatiotemporal heterogeneity of HCHO and  $\text{NO}_2$ , FNR varies in both space and time, as do the drivers of  $\text{O}_3$  photochemistry across China. Figure 4 shows the photochemical regime classification over

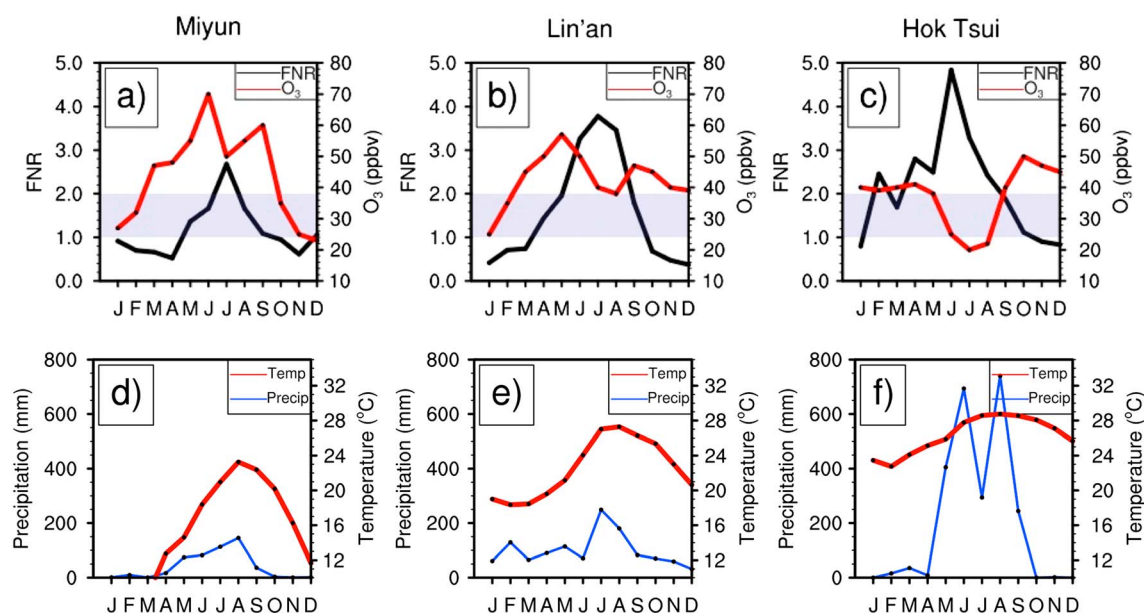


**Figure 5.** Anthropogenic NO<sub>x</sub> and VOC emissions in VOC-limited, NO<sub>x</sub>-limited, and transitional regimes. The upper bar chart shows the relative contribution of each sector to total NO<sub>x</sub> or VOC emission. The bottom table shows the average emission from each sector.

China and over the three representative regions (NCP, YRD, and PRD) during the O<sub>3</sub> seasons of 2005 and 2013. A widespread transitional regime is observed over these regions, and NO<sub>x</sub>-limited regime is dominant outside of these regions. In NCP, transitional or mixed regimes occupy 55% of the total grid cells in 2005 and 64% in 2013. A VOC-limited regime is found in central Beijing (2005), Tangshan (2013), Shijiazhuang (2005 and 2013), Zibo (2013), and some cities in Hebei Province with high density of power plants (Figure 3). Transitional or mixed regimes are found in downwind rural areas of Beijing (northern Beijing). In the YRD, a VOC-limited regime is found in southern Jiangsu Province and Shanghai (2005). Transitional or mixed regimes are dominant elsewhere, which occupy 47% of the total grid cells in 2005 and 78% in 2013. In PRD, VOC-limited regime is found in Guangzhou, and mixed or transitional regime was found over other populous cities (e.g., Shenzhen, Foshan, and Dongguan).

As shown in Figure 4, a VOC-limited regime is mostly found over the regions with high density of power plants, suggesting that the concentrated NO<sub>x</sub> emission from power plants may lead to NO<sub>x</sub> saturation and excessive consumption of OH. As expected in a VOC-limited regime, this excessive NO<sub>x</sub> consequently mitigates the O<sub>3</sub> pollution, sometimes referred to as O<sub>3</sub> titration. Figure 5 shows the monthly average anthropogenic NO<sub>x</sub> and VOC emissions from four sectors (industry, power plants, residential, and vehicles) and their contribution to each photochemical regime. The emissions inventory is collected for 2008, so regime classifications are based on 2008 satellite data analysis. Total anthropogenic VOC and NO<sub>x</sub> emissions are highest in VOC-limited regimes and lowest in NO<sub>x</sub>-limited regimes, as expected given that the VOC-limited regime is found over populated regions. Anthropogenic emissions are more scattered in NCP, leading to relatively less pronounced difference of among regimes.

In terms of sectors, point source NO<sub>x</sub> emissions from power plants are concentrated in VOC-limited regimes (or transitional regimes in PRD), while vehicle NO<sub>x</sub> emissions are distributed in all regimes. Power plants contribute most NO<sub>x</sub> emissions in VOC-limited regime, industrial processes contribute most in transitional regime, and transportation contributes most in NO<sub>x</sub>-limited regime. In rural areas where NO<sub>x</sub>-limited regimes were found, heavy-duty diesel vehicles on rural highways emit huge amount of NO<sub>x</sub> [H. Wang et al., 2010]. As for anthropogenic VOC emissions, industry is the single largest source of anthropogenic VOC emission in all regimes, which contribute 50% to 80% of the total anthropogenic VOC emissions. In China, major sources of anthropogenic NMVOCs include solvent utilization, synthetic fiber, coke production, synthetic ammonia, and cement [Bo et al., 2008]. Residential VOC emissions are the second



**Figure 6.** Monthly average  $O_3$  concentration, FNR, near-surface air temperature, and precipitation in 2005 at (a and d) Miyun in NCP, (b and e) Linan in Yangtze River Delta, and (c and f) Hok Tsui in Pearl River Delta. The purple polygon represents the transition regime. The locations of ozone monitoring sites are marked with black dots.

largest source in NCP and in transitional and  $NO_x$ -limited regime in YRD. Vehicle emissions are a more important source than residential emissions in PRD. In addition to anthropogenic NMVOC, emissions from biogenic activities and biomass burning contribute about 50% and 6% to the total reactive NMVOC emissions in China [Streets *et al.*, 2003]. However, there are large discrepancies with BVOC and biomass burning emission inventory [Fu *et al.*, 2007; Stavrou *et al.*, 2014], and a detailed analysis of BVOC and biomass burning is beyond the scope of this study.

### 3.3.2. Seasonal Variation

In addition to spatial heterogeneity, the FNR indicator ratio varies by season, day, and time of day in a given year. Figure 2c shows the time series of monthly regional average FNR over NCP, YRD, and PRD. The annual cycle is most pronounced in YRD ( $A = 1.82$ ), covering two to three photochemical regimes every year. Since YRD has relatively warm climate, the  $O_3$  season extends from spring to winter, and FNR ranges from 0.52 to 5.14. In NCP, the magnitude of seasonality is less pronounced ( $A = 1.56$ ), and the annual cycle covers three regimes every year. During the  $O_3$  season, monthly average FNR in the NCP covered transitional and  $NO_x$ -limited regimes before 2009, but since 2010, FNR in October has been VOC limited as a result of increasing  $NO_2$  (section 3.1.3). In PRD, the  $O_3$  photochemistry is  $NO_x$  limited from April to October and transitional or mixed in other months, implying that  $NO_x$  controls would be effective for  $O_3$  reduction regardless of season.

Figure 6 shows the monthly average FNR along with  $O_3$  concentrations reported in Wang *et al.* [2011], air temperature at 2 m, and precipitation in 2005 at three representative sites in three regions: (1) a rural site (Miyun) in the NCP ( $40^{\circ}29'N$ ,  $116^{\circ}46.45'E$ ), (2) a rural site (Linan) in YRD ( $30^{\circ}25'N$ ,  $119^{\circ}46.44'E$ ), and (3) a remote site (Hok Tsui, Hong Kong) in the PRD ( $22^{\circ}13'N$ ,  $114^{\circ}46.15'E$ ). It is noteworthy that  $O_3$  peaks were consistently observed in the month when  $O_3$  sensitivity was in transition regime and about to shift to a  $NO_x$ -limited or a VOC-limited regime in the next month. That is, dual  $O_3$  sensitivity is likely to cause  $O_3$  enhancement. At the Miyun site (Figures 6a and 6d), peak  $O_3$  was found in June, when  $O_3$  photochemistry was in a transitional regime. Due to enhanced biomass burning emissions, concentrations of  $NO_x$  and VOC were high in June, contributing to enhanced  $O_3$  pollution. High temperatures in June also accelerate the  $O_3$  production rate [Sillman and Samson, 1995]. In July, the  $O_3$  sensitivity shifted to  $NO_x$ -limited regime, and  $O_3$  proceeded to decline due to lower concentration of  $NO_2$  as well as increased precipitation (most likely indicating decreased daytime photolysis). In August and September, the  $O_3$  photochemistry in Miyun site was transitional, and a second  $O_3$  peak was observed in September, a warm month with relatively low precipitation. At the Linan site, surface  $O_3$  peaked one month earlier (May) than Miyun site, which

**Table 1.** Comparison With Previous Studies

| Period           | Study Area           | Ozone Sensitivity                                    | FNR (This Study)                                 | Method and Reference   |
|------------------|----------------------|--|--|--|
| July 2005        | Beijing              | urban: VOC limited<br>rural: NO <sub>x</sub> limited | urban: 1.5 ± 0.6 <sup>a</sup> ; rural: 2.9 ± 1.1 | community multiscale air quality model–response surface method [Xing <i>et al.</i> , 2011] |
| Nov 2007         | Shanghai             |  | urban: 1.1 ± 0.4; rural: 2.1 ± 0.8               |  |
| Aug 2007         | Guangzhou            |  | urban: 1.2 ± 0.5; rural: 2.3 ± 0.9               |  |
| Summer 2006      | Guangzhou            | VOC limited  | 0.6 ± 0.2  | photochemical trajectory model [Cheng <i>et al.</i> , 2010]                                |
|                  | Beijing              |  |  |  |
|                  | Beijing              | mixed photochemistry                                 | 1.25 ± 0.5                                       | observation-based photochemical box model (OBM) [Lu <i>et al.</i> , 2010]                  |
| Aug–Sep 2006     | Beijing urban area   | VOC limited  | 0.9 ± 0.4  | OBM [Chou <i>et al.</i> , 2009]  |
| June – July 2005 | Beijing              | NO <sub>x</sub> limited or transitional              | 2.5 ± 1.0  | Ground-based Measurements [H. Wang <i>et al.</i> , 2006; T. Wang <i>et al.</i> , 2006]     |
| Nov 2006         | PRD                  | mixed  | 1.7 ± 0.7  | chemical transport model (energy balance model) [Li <i>et al.</i> , 2013]                  |
| Summer 2009–2011 | Miyun Site (Beijing) | VOC limited  | urban: 0.97 ± 0.4<br>1.8 ± 0.7                   | smog production algorithm (OBM) [Wang <i>et al.</i> , 2008]                                |
| Aug 2007         | Beijing              | transitional   | 1.25 ± 0.5                                       | 1-D photochemical model [Liu <i>et al.</i> , 2012a, 2012b]                                 |

<sup>a</sup>Assumes 40% uncertainty.

coincided with the last month with transitional regime in spring (Figures 6b and 6e). The O<sub>3</sub> sensitivity was in NO<sub>x</sub>-limited regime throughout the summer, and relatively low O<sub>3</sub> level was observed, likely due to the summer peak in precipitation. In Hok Tsui site (Figures 6c and 6f), temperature is high throughout the year, which is favorable for O<sub>3</sub> formation, with concentrations at minimum during the summer months of heavy precipitation. O<sub>3</sub> enhancement in September and October coincided with dual O<sub>3</sub> sensitivity. O<sub>3</sub> pollution episodes in October in PRD have been documented in previous studies [J. Zhang *et al.*, 2007; Li *et al.*, 2013]. Li *et al.* [2013] indicated that peak O<sub>3</sub> is related to the formation of regime shift, consistent with our study results.

### 3.3.3. Comparison With Previous Studies

Several model and observation-based studies have investigated the O<sub>3</sub> sensitivity in China, with a focus on the three representative economic regions, shown in Table 1. Our findings are generally consistent with past work in identifying O<sub>3</sub> sensitivities over this region. Using a model-based analysis, Xing *et al.* [2011] found O<sub>3</sub> production sensitive to VOC emissions in urban areas of megacities in summertime, whereas we found that the largest cities are characterized by a transitional regime in the summer months. The discrepancy between these two studies, one based on a “bottom-up” model calculation and one based on a “top-down” satellite estimate, may be attributable to a wide range of factors. One possible explanation, based on Fu *et al.* [2007], is that the NMVOC inventory from Streets *et al.* [2003] used by Xing *et al.* [2011] underestimates anthropogenic and biomass burning VOC emissions by 25% and 80%, respectively. Our findings are consistent with Lu *et al.* [2010], who present an observation-based analysis finding mixed photochemistry in Beijing. It should also be noted that our study results are limited to early afternoon conditions and may not provide an “apples to apples” comparison with studies considering a 24 h period. O<sub>3</sub> formation is usually more VOC sensitive in the morning and becomes more NO<sub>x</sub> sensitive as the NO<sub>x</sub> consumed [Sillman, 1995]. The OMI overpass time tends to correspond with the daily NO<sub>x</sub> minimum [Chou *et al.*, 2009; Lu *et al.*, 2010], which is also the most NO<sub>x</sub> sensitive time of day. While once-a-day data is a limitation of OMI data analysis, ground level O<sub>3</sub> pollution often peaks in the afternoon [Kanaya *et al.*, 2009], so the O<sub>3</sub> sensitivity during this time of day should be of particular interest.

### 3.3.4. Interannual Trend

As a result of significant increasing trend in NO<sub>2</sub> but insignificant trend in HCHO, a widespread decreasing trend in FNR was found from 2005 to 2013. The decreasing trend in FNR led the O<sub>3</sub> photochemistry to become more sensitive to VOCs. As shown in Figure 4, the comparison between 2005 and 2013 indicates that the extent of VOC-limited regime does not expand significantly in O<sub>3</sub> season, but an expansion of transitional regime is observed over China. The percentage of VOC-limited regime in NCP during O<sub>3</sub> season has increased slightly from 4% to 6% in NCP, and transitional regime has increased from 55% to 64%. In YRD, VOC-limited regime has expanded from 3% to 4%, and the extent of transitional regime has increased from 47% to 78%. In PRD, the extent of transitional regime increased from 49% to 60%, but VOC-limited regime disappeared in 2013.

Similar expansion of transitional regime areas is also found outside the three regions, especially surrounding the capital cities, such as Wuhan, Changsha, Xian, Chengdu, and Chongqing.

Besides expanding spatial extent, we also find an extended transitional and VOC-limited regime during the ozone season. As shown in Figure 2, regional average FNR in NCP is VOC limited from November to March in 2005 and from September to April in 2012 and 2013. Over urban areas, O<sub>3</sub> formation has become sensitive to VOCs (VOC limited and transitional) throughout the year since 2007, except 2008 when Beijing Olympic Games were held. In YRD, O<sub>3</sub> sensitivity consistently has shifted from NO<sub>x</sub> limited to transitional in October (from 2005 to 2013), but the spring shift from transitional back to NO<sub>x</sub> limited has moved from April (prior to 2009) to May (since 2009).

In terms of regime shift over urban areas of the capital cities, 24 of the 31 capital cities show statistically significant decreasing trends in FNR (Table S2 in the supporting information, confidence level > 95%). The number of capital cities with NO<sub>x</sub>-limited photochemistry in urban areas during O<sub>3</sub> season is 20 in 2005 and decreased to 15 in 2013. Regime shift from NO<sub>x</sub>-limited to transitional regime was observed over the cities with high growth rates of NO<sub>2</sub>, such as Hohhot, Xi'an, Hefei, Chengdu, and Wuhan. Three cities, including Nanjing, Shijiazhuang, and Taiyuan shifted from transitional to VOC-limited regime (Figure 4). On the contrary, the most developed megacities, including Beijing, Shanghai, and Guangzhou, have shifted from VOC-limited regime in 2005 to transitional regime in 2013 as a result of decreasing NO<sub>2</sub>. In 2005, O<sub>3</sub> production is NO<sub>x</sub> saturated in these cities during O<sub>3</sub> season, so the titration effect of NO consumes O<sub>3</sub>. As a result, the level of O<sub>3</sub> has increased in these cities despite significant efforts have been made to reduce NO<sub>x</sub> emission. An O<sub>3</sub> pollution study in Beijing suggests that the daily average O<sub>3</sub> increased by 5% per year from 2005 to 2011, even though both reactive VOCs and NO<sub>x</sub> emissions showed growth rates of -5% and -4% per year [Zhang *et al.*, 2014]. T. Wang *et al.* [2010] also found that the level of O<sub>3</sub> increased by 16% during the Olympic Games in 2008, although ambient concentration of NO<sub>x</sub> dropped by 25%.

We consider the scenario of stable trends in FNR into the future across China. Under this scenario, the year when monthly average FNR reaches 1.0 or 2.0 can be predicted from equation (1). Such a trend suggests that China will move toward increasing sensitivity to VOC emissions, with most of the country characterized by a warm season transitional O<sub>3</sub> production regime by 2030 (70% of analyzed grid cells; Figure S2 in the supporting information). About 28% of NCP and 5% of YRD will become VOC limited by 2030. A VOC-limited regime is likely to occur throughout eastern China as early as 2025, but year-round VOC-limited photochemistry is unlikely to occur in the next four decades (Figure S3 in the supporting information). In the cities with transitional photochemistry, such as Hangzhou, Lanzhou, Nanjing, Tianjin, and Xi'an, VOC-limited regime will become dominant in the O<sub>3</sub> season by 2030 if the current trend continues. It should be noted that this linear extrapolation should not be viewed as a prediction given the rapidly changing landscape of energy and environmental policy in China. The Chinese government has formulated a series of policies to reduce emissions in 12th Five-Year-Plan (2010). Stronger enforcement and technology improvement is expected to slow down the increasing trend of NO<sub>x</sub> emission, thus delaying the transition to VOC-limited regime. Model forecasts suggest that the growth rate of NO<sub>x</sub> emissions from coal-fired power plants will slow down by at least 50% due to widespread application of emission control technologies [Zhao *et al.*, 2008].

#### 4. Conclusion

This study applies satellite data to characterize O<sub>3</sub> sensitivity in China by calculating the ratio of HCHO to NO<sub>2</sub> from the OMI instrument aboard the Aura satellite. Satellites provide spatially continuous data, which could complement sparse in situ observations. We analyzed the spatial and temporal variation of NO<sub>2</sub>, HCHO, and an O<sub>3</sub> sensitivity indicator (FNR) over China and in three heavily urban subregions. OMI observations suggest that O<sub>3</sub> sensitivity varies in both space and time and that O<sub>3</sub> production is more likely to be VOC limited over urban areas and NO<sub>x</sub> limited over rural and remote areas. Both NO<sub>2</sub> and HCHO displayed distinct seasonality over China, resulting in variation of photochemical regimes with season.

The contribution of emission sectors to total NO<sub>x</sub> and VOC emissions differs in different regimes: power plants contribute most NO<sub>x</sub> in VOC-limited regime; industrial processes contribute most NO<sub>x</sub> in transitional regime; and transportation contributes most NO<sub>x</sub> to NO<sub>x</sub>-limited regime.

A rapid growth in NO<sub>2</sub> was found in most parts of China through two complementary trend detection methods, especially western and central China where O<sub>3</sub> photochemistry is NO<sub>x</sub> limited. Both parametric and nonparametric trend detection indicated insignificant trends in HCHO likely due to the net effect of increasing anthropogenic VOC emissions and decreasing biogenic VOC emissions. Rapid growth in NO<sub>2</sub> but insignificant trend in HCHO has caused the transition from NO<sub>x</sub> limited to transitional or VOC-limited regimes in most parts of China. A linear regression model suggests that VOC-limited and transitional regimes would be dominant in the NCP region of China throughout the O<sub>3</sub> season by 2030 but that regime shift is unlikely to occur over southern China. In contrast, decreasing trends in NO<sub>2</sub> were found in developed megacities such as Beijing, Shanghai, and Guangzhou, which may be attributed to stronger regulations and enforcement. The decreasing NO<sub>2</sub> trend has led to a regime shift from VOC-limited to transitional regime with high O<sub>3</sub> production efficiency and consequently increasing O<sub>3</sub> pollution.

Satellite data offers a powerful tool to inform O<sub>3</sub> chemistry and processes, and the benefits of this approach may be leveraged and strengthened through complementary analysis approaches. Modeling studies and field measurements will support evaluation of the FNR threshold criteria, especially the role of aerosol uptake of HO<sub>2</sub> and excess HONO [Liu *et al.*, 2012a]. And while primarily emitted HCHO only contributes to a small amount of the total HCHO, the separation of primarily emitted HCHO and secondary HCHO may allow a better approximation of the photochemical oxidation [Garcia *et al.*, 2006]. There are a number of model and observational strategies that could support the partitioning of primary and secondary HCHO. For example, satellite observations of glyoxal (CHOCHO) and CO have been shown to have the potential to separate emitted and photochemically produced HCHO. In addition, since CHOCHO is similarly formed from oxidation of most VOCs, with virtually no primary sources [DiGangi *et al.*, 2012], CHOCHO satellite products could also be directly used to characterize O<sub>3</sub> sensitivity [Vrekoussis *et al.*, 2010; Huisman *et al.*, 2011; DiGangi *et al.*, 2012; Liu *et al.*, 2012b]. Finally, satellite retrievals of trace gases represent a weighted average over all levels of the atmosphere contributing to the signal received by the space-based sensor [Eskes and Boersma, 2003]. The applicability of these vertical columns to ground level air quality is an emerging issue and complicated by conditions where vertical emission sources (e.g., NO<sub>x</sub> from power plant smokestacks) interact with vertically heterogeneous chemical processes (e.g., NO titration effect caused by excessive NO<sub>x</sub> aloft). Despite these limitations, space-based information offers a valuable resource for air quality management, energy planning, and public health assessment. By applying current generation satellite data to issues of policy relevance, new instruments and algorithms may be designed to strengthen and expand applications where space-based data prove most useful.

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